

Priority	<u>Audit Title</u>	2014/15	Position as at end February	Opinion
		Days		
	Carry Over Work			
	Completion of Reviews in Progress as at 31 March 2014	120		
	2013-14 Accounts Payable Phase 2		Final report issued	Adequate
	2013-14 Council Tax Phase 2		Final report issued	Adequate
	2013-14 NDR Phase 2		Final report issued	Adequate
	2013-14 Asset Management/Capital Accounting		Final report issued	Adequate
	2013-14 Main Accounting System Phase 2		Final report issued	Adequate
	2013-14 Payroll Phase 2		Final report issued	Adequate
	2013-14 SWIFT Financials		Final report issued	Adequate
	ICT Contract Management		Fieldwork completed	
	Recruitment Controls (including vetting)		Final report issued	Adequate
	Data Quality- Customer Satisfaction for Roads and Pavements		Final report issued	Adequate
	Data Quality- Invitations to Health Screening		Final report issued	Limited
	Data Quality- Visits to Libraries		Draft report issued	
	Teachers' Pensions		Final report issued.	Limited
	Officers Hospitality and Gifts - Follow Up		Final report issued	Unsatisfactory progress
	Members Hospitality and Gifts - Follow Up		Final report issued	Satisfactory progress
	ICT Governance Phase 2		Final report issued	Limited
	Corporate Financial Management		Draft report issued	
	Domiciliary Care Framework Agreement		Fieldwork in progress	
	SCHH Financial Management		Draft report issued	
	Section 278 Agreements		Final report issued	Limited
	Pro Active Anti Fraud- Expense Claims		Fieldwork in progress	
	Pro Active Anti Fraud - Timesheets		Postponed to 15/16	
	Lawnside Lower School		Final report issued	Adequate
	Arlesey Nursery		Final report issued	Adequate
	Total - Carry Over Work	120		
	Fundamental Systems			
H	Accounts Payable/Purchase Ledger (including feeder systems)	35	Final report issued	Adequate
H	Accounts Receivable/Sales Ledger	30	Final report issued	Adequate
H	Asset Management (incl. Asset Register)/Capital Accounting	30	Fieldwork in progress	
H	Council Tax (including Council Tax Support Scheme)	35	Final report issued	Adequate
H	Housing Benefits	40	Final report issued	Adequate
H	Main Accounting Systems (MAS)	30	Final report issued	Adequate
H	National Non Domestic Rates NNDR	35	Final report issued	Adequate
H	Payroll	35	Final report issued	Adequate
H	SWIFT Financials	15	Fieldwork in progress	
H	Treasury Management	25	Final report issued	Adequate

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H	Housing Rents including tenant arrears	25	Final report issued	Adequate
H	Cash And Banking (Non Invoiced Income)	20	Final report issued	Adequate
Total - Fundamental Systems		355		
Assurance Audits - Improvement and Corporate Services				
M	Data Quality	15	Scoping in progress	
H	Information Governance - application of framework	15	Report being drafted	
M	Corporate Governance Reviews	15	Scoping in progress	
M	Public Health data - assurance on Information Management	15	Scoping in progress	
M	Application Reviews	15	Fieldwork in progress	
M	Customer First Information Security	15	Scoping in progress	
H	SAP Master Data Maintenance post ESS/MSS	15	Fieldwork in progress	
H	IT Disaster Recovery	10	Fieldwork in progress	
H	SAP Access and Security	10	Report drafted	
M	Corporate Asset Management Strategy	15	Scoping in progress	
H	Compliance- Assets	15	Scoping in progress	
M	Asset Management System	15	Fieldwork in progress	
Total- Improvement and Corporate Services		170		
Assurance Audits - Finance				
H	Impact of Welfare Reform	15	Removed - see Jan Committee report.	
M	Adherence to Procurement Procedures		Fieldwork in progress	
L	Sickness Absence Pool	5	Report drafted	
Total - Finance		20		
Assurance Audits - Children's Services				
M	Schools General - School Improvement	80	Ongoing throughout year.	See par 14 of report.
H	School Transport	15	Scoping in progress	
H	Troubled Families Grant	15	Fieldwork completed	
Total - Children's Services		110		
Assurance Audits - Social Care, Health and Housing				
M	Housing Repairs	20	Timing of review subject to completion of SAP/QL interface	
H	Direct Payments	15	Scoping in progress	
M	Housing Tenancy Management	15	Report drafted	
M	Residential Care Homes - Supporting Transfer	5	Finalised	n/a
M	Residential Care Homes - Review opening balances on clients' funds	10	Fieldwork in progress	
M	Residential Care Homes - Payroll Due Diligence	5	Fieldwork in progress	

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M	Care Homes - Accreditation Scheme for Dementia	10	Not yet started	
Total - Social Care, Health and Housing		80		
Assurance Audits - Contracts and Partnerships				
M	Contract Management	20	Not yet started	
Total - Contracts and Partnerships		20		
Assurance Audits - Public Health				
M	Public Health compliance with best practice	15	Fieldwork in progress	
Total - Public Health		15		
Special Investigations				
M	National Fraud Initiative (NFI)	20	Ongoing throughout year	n/a
M	Special Investigations	40	Ongoing throughout year.	Two investigations completed. Outcomes reported to January Committee
Pro Active Anti Fraud:				
M	Internet and Email systems	10	Scoping in progress	
M	Voluntary funds	10	Fieldwork in progress	
M	Cash income	10	Report drafted	
Total - Special investigations		90		
Ad Hoc Consultancy etc.,				
H	Risk Management Activities	35	Ongoing throughout year	
M	Major projects - Consultancy	40	Ongoing throughout year	
M	Supporting Annual Governance Statement	5	2013/14 statement drafted.	n/a
M	Audit of Individual Grants	20	Ongoing throughout year	Troubled Families, Winter Pressures and Reablement grants reviews finalised.
M	General Advice	20	Ongoing throughout year	
M	Head of Audit Chargeable Against Plan	60	Ongoing throughout year	n/a
M	Assurance Mapping Review	5	Ongoing throughout year	n/a
M	Benchmarking Exercise	5	Completed	n/a
L	Contingency	75		n/a
Total - Ad Hoc Consultancy etc.		265		
TOTAL CHARGEABLE DAYS REQUIRED		1245		